



**PATENT** 

# **DECLARATION**

## INVENTORSHIP IDENTIFICATION

a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below, next to my name. I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

# TRANSACTION STRUCTURES AND METHODS **CONCERNING THE FORWARD SALE OF A COMMODITY**

### SPECIFICATION IDENTIFICATION

| the specification of which:  |             |  |                           |                              |              |                |  |
|--|-------------|--|---------------------------|------------------------------|--------------|----------------|--|
| (a)  |             | is attached hereto.  |                           |                              |              |                |  |
| (b)  | $\boxtimes$ | was filed on <u>October 24, 2003</u> , as Serial No. <u>10/693,277</u> |                           |                              |              |                |  |
|  |             | and  | was amended on            | (if applicable).             |              |                |  |
| (c)  |             | was des  | scribed and claimed in Po | CT International Application | on No        | filed on       |  |
|  |             | and  | was amended on            | . (if applicable).           |              |                |  |
| ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR  |             |  |                           |                              |              |                |  |
| I hereby state that I have reviewed and understand the contents of the above-identified specification,   |             |  |                           |                              |              |                |  |
| including the claims as amended by any amendment referred to above.  |             |  |                           |                              |              |                |  |
| I acknowledge the duty to disclose information, which is material to patentability as defined in 37,   |             |  |                           |                              |              |                |  |
| Code of Federal Regulations, § 1.56.   |             |  |                           |                              |              |                |  |
| PRIORITY CLAIMS UNDER 35 U.S.C. § 119(a)-(d) (Prior Foreign/PCT Application(s) Filed Within 12 Months (6 Months For Design) Prior To This Application) |             |  |                           |                              |              |                |  |
| I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or 365(b) of   |             |  |                           |                              |              |                |  |
| any foreign application(s) for patent or Inventor's certificate(s) or 365(a) of any PCT international  |             |  |                           |                              |              |                |  |
| application(s) which designated at least one country other than the United States of America, listed below and   |             |  |                           |                              |              |                |  |
| have also identified below any foreign application(s) for patent or inventor's certificate(s) or any PCT   |             |  |                           |                              |              |                |  |
| international application(s) having a filing date before that of the application(s) of which priority is claimed.                                      |             |  |                           |                              |              |                |  |
| Applica  | ation No.   | •  | Country or PCT            | Date Of Filing               | Priority not | Certified Copy |  |
|  |             |  |                           | (Day, Month, Year)           | Claimed      | Attached?      |  |
|  |             |  |                           |                              |              | YES NO         |  |

## **DOCKET NO. 030694**

**PATENT** 

# CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) UNDER 35 U.S.C. § 119(e)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

| Provisional Application No. | Date of Filing (Day/Month/Year) |  |  |
|-----------------------------|---------------------------------|--|--|
|                             |                                 |  |  |

## CLAIM FOR BENEFIT OF EARLIER PCT APPLICATION(S) UNDER 35 U.S.C. § 120

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

| U.S Parent      | PCT Parent      | Date Of Filing     | Parent Patent       |
|-----------------|-----------------|--------------------|---------------------|
| Application No. | Application No. | (Day, Month, Year) | No. (If applicable) |
| <u> </u>        | ,               |                    |                     |
|                 |                 |                    |                     |
|                 |                 |                    |                     |

#### **DECLARATION**

I hereby declare that my presentation of this paper constitutes a certification under 37 C.F.R § 10.18, which provides, in part, that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application and any patent issuing therefrom.

| <b>DOCKET NO. 03069</b>  | 4   | PATENT                                  |  |  |  |
|--|---|---|--|--|--|
| Inventor(s)  |   |   |  |  |  |
| Michael  | Kumar   |   |  |  |  |
| (GIVEN NAME)   | (MIDDLE INITIAL OR NAME)  | FAMILY (OR LAST NAME)                   |  |  |  |
| Inventor's signature   | M   |   |  |  |  |
| Date   | July 7, 2004  | Country of Citizenship United States    |  |  |  |
| Residence  | 105 Duane Street, Apt # 30H, New York, NY 10007   |   |  |  |  |
| Mailing Address:   | 1585 Broadway floor 2, New York, NY 10036   |   |  |  |  |
|  |   |   |  |  |  |
| Sadek  |   | Wahba                                   |  |  |  |
| (GIVEN NAME)   | (MIDDLE INITIAL OR NAME)  | FAMILY (OR LAST NAME)                   |  |  |  |
| Inventor's signature   | ) Walke   |   |  |  |  |
| Date   | July 7, 2004  | Country of Citizenship Great Britain    |  |  |  |
| Residence  | 1217 Park Avenue, New York, NY 10017  |   |  |  |  |
| Mailing Address:   | 1585 Broadway floor 2, New York, NY 10036   |   |  |  |  |
| Signature by administrator(trix), or legal representative for deceased or incapacitated    |   |   |  |  |  |
| inven  | tor. Number of pages added  | <del>-</del>                            |  |  |  |
| Signature for inventor who refuses to sign or cannot be reached by person authorized under |   |   |  |  |  |
| 37 CF  | FR 1.47. Number of pages added _  | •                                       |  |  |  |
| Adde   | Added page for signature by one joint inventor on behalf of deceased inventor(s) where legi |   |  |  |  |
| representative cannot be appointed in time. (37 CFR 1.47)                                  |   | ne. (37 CFR 1.47) Number of pages added |  |  |  |
| This declarati   | adjacation and with this page   |   |  |  |  |

#### **PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wahba et al. Application No.: 10/693,277 Filed: October 24, 2003

For: TRANSACTION STRUCTURES AN EMPETHORS'
CONCERNING THE FORWARD SALE OF A COMMODITY

Mail Stop: Missing Parts Commissioner for Patents P.O. Box: 1450

Alexandria, VA 22313-1450

# STATEMENT BY PRACTITIONER THAT APPLICATION FILED IN PTO IS THE ONE INVENTOR EXECUTED BY SIGNING DECLARATION

NOTE: This form is to be used when the declaration only indicates the name(s) of the inventor(s) and the title of the invention. Notice of September 12, 1983, 1035 O.G. 3. I, Mark G. Knedeisen Name of Practitioner Kirkpatrick & Lockhart LLP P.O. Address Henry W. Oliver Building, 535 Smithfield Street, Pittsburgh, Pennsylvania 15222-2312 Tel. No. (412) 355-6342 42,747 Reg. No. state I am the registered practitioner for this application and the application identified above is the application that the inventor(s) executed by signing the declaration that is being submitted herewith. Mad Free SIGNATURE OF PRACTITIONER Customer No.: 26285 **CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)** I hereby certify that this correspondence is, on the date shown below, being: **MAILING FACSIMILE** transmitted by facsimile to the deposited with the United States Postal Patent and Trademark Office. Service with sufficient postage as first class mail in an envelope addressed to the Box: Missing Part, Commissioner for Patents, P.O. Box: 1450, Alexandria, VA 22313-1450. Date: Signature (type or print name of person certifying)

(Statement by Practitioner That Application Filed in PTO is the One Inventor Executed by Signing Declaration